SIFMA®

The Asset Managers Forum

Standard Fail Report Template

General Header Information -- (no logos)

| Fail | Status | Broker ID / Name | Acct Name | CUSIP | Trade Date | Settlement Date | Trade Quantity | Fail Quantity | Trade Net Money | Fail Net Money (for partials) | Age | Transaction Type (Buy or Sell) | Custodian ID | In Mgr ID | ISIN | SEDOL | POOL ID | Custodian CUSIP | Ticker Symbol | Security Name | Trading Broker | Trading Broker DTCC # | Settlement Broker | Stmt Brkr DTCC # | Price | Yield | Delivery Date | Current Face | Interest | Commission | SEC Fee | Other Fees | Settlement Currency | Bank Unique Trade ID | Client Reference ID | Note1 | Note2 | Fail Reason Code | Reason for Fail | Alert Code | Account/Net Short Name | Asset Type 1 | Asset Type 2 | Coupon | Maturity | Factor | Country Description | Settlement Location | ECN | Instruction | ABA Number | Broker's Bank ID | Euroclear Number | BIC | Matched | Partial Settlement | Partial Settlement Original Amount | Trader at Broker | Salesperson at Broker | Middle Office Contact for Broker | Pairoff |
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